#### 1 Rare detection of noncanonical proteins in yeast mass spectrometry studies

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#### 9 Abstract

- 10 Ribosome profiling experiments indicate pervasive translation of short open reading frames (ORFs)
- 11 outside of annotated protein-coding genes. However, shotgun mass spectrometry experiments typically
- 12 detect only a small fraction of the predicted protein products of this noncanonical translation. The rarity
- 13 of detection could indicate that most predicted noncanonical proteins are rapidly degraded and not
- 14 present in the cell; alternatively, it could reflect technical limitations. Here we leveraged recent
- advances in ribosome profiling and mass spectrometry to investigate the factors limiting detection of
- 16 noncanonical proteins in yeast. We show that the low detection rate of noncanonical ORF products can
- be explained by small size and low translation levels and does not indicate that they are unstable or
- 18 biologically insignificant. In particular, no proteins encoded by evolutionarily young genes were
- 19 detected, not even those with well-characterized biological roles. Additionally, we find that decoy biases
- 20 can give misleading estimates of noncanonical protein false discovery rates, potentially leading to false
- 21 detections. After accounting for these issues, we found strong evidence for four noncanonical proteins
- 22 in mass spectrometry data, which were also supported by evolution and translation data. These results
- 23 illustrate the power of mass spectrometry to validate unannotated genes predicted by ribosome
- 24 profiling, but also its substantial limitations in finding many biologically relevant lowly-expressed
- 25 proteins.

## 26 Introduction

- 27 Ribosome profiling (ribo-seq) experiments indicate that genomes are pervasively translated outside of
- 28 annotated coding sequences.<sup>1</sup> This "noncanonical" translatome primarily consists of small open reading
- 29 frames (ORFs), located on the UTRs of annotated protein-coding genes or on separate transcripts, that
- 30 potentially encode thousands of small proteins missing from protein databases.<sup>2</sup> Several previously
- 31 unannotated translated ORFs identified by ribo-seg have been shown to encode microproteins that play
- 32 important cellular roles.<sup>3–6</sup> The number of translated noncanonical ORFs identified by ribo-seg analyses
- is typically very large, but many are weakly expressed, poorly conserved<sup>7–9</sup>, and not reproduced
- 34 between studies<sup>10</sup>, suggesting that they may not all encode functional proteins. There has thus been
- 35 considerable interest in proteomic detection of the predicted products of noncanonical ORFs.<sup>11–15</sup>
- 36 Detection of a noncanonical ORF product by mass spectrometry (MS) confirms that the ORF can
- 37 generate a stable protein that is present in the cell at detectable concentrations and thus might be a
- 38 good candidate for future characterization.
- 39 Over the past decade, numerous studies have attempted to identify noncanonical proteins using 40 bottom-up "shotgun" proteomics in which MS/MS spectra from a digested protein sample are matched to predicted spectra from a protein database.<sup>16,17</sup> These studies report hundreds of peptides encoded by 41 42 noncanonical ORFs with evidence of detection in mass spectrometry data.<sup>13–15,18–20</sup> However, these 43 detections typically represent only a small fraction of the noncanonical ORFs found to be translated 44 using ribo-seq. It is unclear whether most proteins translated from noncanonical ORFs are undetected 45 by MS because they are absent from the cell, for example owing to rapid degradation, or because they 46 are technically difficult to detect. Both the short sequence length and low abundance of noncanonical ORFs pose major challenges for detection in typical bottom-up MS analysis.<sup>17</sup> Alternative techniques for 47 protein detection, such as microscopy<sup>21</sup> and targeted proteomics<sup>22</sup>, are more sensitive at detecting small 48 proteins, but lack the convenience of untargeted bottom-up MS in being able to readily search for 49 50 unannotated proteins predicted from an entire genome, transcriptome or translatome of a species.

- 51 Several recent MS studies have aimed to improve detection of short, lowly-expressed proteins in *S*.
- 52 *cerevisiae*. He et al. 2018<sup>23</sup> used a combination of techniques to enrich for small proteins and detected
- 53 117 microproteins, including three translated from unannotated ORFs. Gao et al. 2021<sup>24</sup> also used a
- 54 combination of strategies to detect many small and low abundance proteins. Sun et al. 2022<sup>25</sup> searched
- 55 for unannotated microproteins in a variety of stress conditions and found 70, all expressed from
- alternative reading frames of canonical coding sequences. At the same time as these studies provided
- 57 increased coverage of the yeast proteome, Wacholder et al. 2023<sup>7</sup> integrated ribo-seq data from
- 58 hundreds of experiments in over 40 published studies and assembled a high-confidence yeast reference
- 59 translatome including 5372 canonical protein-coding genes and over 18,000 noncanonical ORFs. Here
- 60 we leveraged these recent technical advances in MS and ribo-seq analysis to investigate the factors
- 61 limiting detection of noncanonical proteins using *S. cerevisiae* as a model organism.
- 62 <u>Results</u>

## 63 Noncanonical peptides and decoys detected at comparable rates

- 64 Using the MSFragger program<sup>26</sup>, we searched the three aforementioned published MS datasets
- optimized for detection of short, lowly expressed proteins<sup>23–25</sup> against a sequence dataset that included
- all 5,968 canonical yeast proteins on Saccharomyces Genome Database (SGD)<sup>27</sup> as well as predicted
- 67 proteins from 18,947 noncanonical ORFs (including both unannotated ORFs and ORFs annotated as
- 68 "dubious") inferred to be translated in Wacholder et al. 2023<sup>7</sup> on the basis of ribosome profiling data.
- 69 The spectra from the three studies were pooled and false discovery rates (FDR) were estimated
- separately for canonical and noncanonical ORFs using a target-decoy approach.<sup>28</sup> MSFragger expect
- scores were used to assess confidence in peptide-spectrum matches (PSMs), with lower values
- 72 indicating stronger matches. Among canonical ORFs, 4021 of 5968 had proteins detected at a 1% FDR
- 73 (Figure 1A). For noncanonical ORFs, it was not possible to generate a substantial list of detected
- 74 proteins at a 1% FDR because too many decoys were detected relative to targets at all confidence
- 75 thresholds (Figure 1B).







threshold. B) The number of predicted noncanonical proteins and decoys detected in MS data at a range of confidence

80 thresholds.

#### 81 Decoy bias among noncanonical ORF products leads to inaccurate FDR estimates

82 In general, there is a trade-off in target-decoy approaches such that setting a weaker confidence

threshold results in a longer list of proteins inferred as detected, but with a higher FDR. In the case of

84 yeast noncanonical ORF peptides, the decoy/target ratio never went below 60% for any list of inferred

85 detected target proteins larger than 10, and this ratio also did not converge to 1 even with thresholds

86 set to allow 10,000 target proteins to pass (**Figure 2A**). The small enrichment of targets above decoys

87 gives little confidence in detection of noncanonical ORF products at the level of individual proteins but

88 leaves open the possibility that MS data could contain a weak biological signal.

89 However, there is an alternative explanation for why targets are found at somewhat higher rates than 90 decoys across a large range of confidence thresholds: decoy bias.<sup>28</sup> The accuracy of FDR calculations 91 require that target and decoy false positives are equally likely at any threshold, but this assumption 92 could be violated if there are systematic differences between targets and decoys. Decoy bias has been 93 assessed in previous work by comparing the number of target and decoy PSMs below the top rank for 94 each spectra: if a peptide is genuinely detected, it will usually be the best match to its spectra, and so lower-ranked matched peptides will be false and should appear at approximately equal numbers for 95 both targets and decoys.<sup>28</sup> Among canonical ORFs, this expected pattern is observed (Figure 2B). In 96 97 contrast, targets substantially outnumber decoys at all ranks for noncanonical ORFs (Figure 2C). We 98 reasoned that this bias could be explained by the short length of noncanonical proteins. Indeed, many 99 predicted peptides derived from noncanonical ORFs include the starting methionine, while decoys,

100 consisting of reversed sequences from the protein database, are more likely to end with methionine

- 101 (Figure 2D). To eliminate this large systematic difference, we constructed an alternative decoy database
- 102 in which decoys for noncanonical proteins were reversed only after the leading methionine. When this
- 103 database is used, the number of noncanonical targets and decoys at each rank is close to equal (Figure
- 104 **2E**) and the target/decoy ratio converges to one as confidence thresholds are lowered (**Figure 2F**). This
- 105 behavior is consistent with expectations for a well-constructed decoy set. We therefore repeated our
- 106 initial analysis using the alternative decoy set (Figure 2G-H) and used it for all subsequent analyses.



- **Figure 2: Decoy biases distort false discovery rate estimation.** A) Among noncanonical proteins, the ratio of decoys detected to
- 109 targets detected, across a range of targets detected, which varies with expect score threshold. Decoys are reverse sequences of 110 the noncanonical protein database. B) Across all spectra, the proportion of peptide-spectrum matches of each rank that are
- 111 canonical peptide vs. decoys. Peptide rank indicates the rank of the strength of the peptide-spectrum match, ordered across
- all peptides and decoys. C) Across all spectra, the proportion of peptide-spectrum matches of each rank that are noncanonical
- 113 peptides vs. decoys. D) Among noncanonical ORF and decoy predicted trypsinized peptides that match spectra at any
- 114 confidence level, the proportion that start or end with a methionine. E) Across all spectra, the proportion of peptide-spectrum
- 115 matches of each rank that are noncanonical peptides vs. decoys, using the alternative decoy set. Alternative decoys are
- 116 constructed by reversing noncanonical proteins after the starting methionine, such that all decoy and noncanonical proteins
- 117 start with M. F) Among noncanonical proteins, the ratio of decoys detected to targets detected across counts of targets
- detected, using the alternative decoy set. G) The number of predicted proteins and decoys at a range of confidence thresholds,
- using the alternative decoy set. H) The best peptide-spectrum match expect scores for each noncanonical protein and decoy in
- 120 the database, using the alternative decoy set.

## 121 Two noncanonical proteins show strong evidence of genuine detection

- 122 Using the alternative decoy set and standard MSFragger analysis, we remained unable to construct an
- 123 FDR-controlled list of noncanonical proteins at a 10% FDR threshold because decoys were still detected
- 124 at a similar rate as targets (Figure 2G). We therefore sought to examine the strongest hits to determine
- if we could identify evidence that any were genuine detections. Two noncanonical proteins had peptides
- 126 with stronger expect scores than any decoys (**Figure 2H**; standard MSFragger approach in **Table 1**). We
- 127 gave the ORFs encoding these proteins systematic names YMR106W-A and YFR035W-A following SGD
- 128 conventions. Both proteins matched to two distinct spectra at thresholds stronger than the best decoy
- match. Moreover, YMR106W and YFR035W-A both had ribo-seq read counts greater than 99.9% of
- 130 noncanonical ORFs in the Wacholder et al. dataset. The identification of multiple matching spectra for
- these noncanonical proteins and their relatively high rates of translation provide strong support that
- 132 these are genuine detections.

#### 133 Table 1: Noncanonical ORFs possibly detected in mass spectrometry data

Systematic	Approaches	Coordinates	Peptides detected	Best	Quantile of	Evidence of	Strength of
name	used to		(spectra count)	expect	ribo-seq	conservation	evidence**
	find			score	read count		
YMR106W-A*	Standard	chrXIII:4809	MISMEAINNFIK (1),	9.82e-06	0.99958	None	Strong
	MSFragger, MS-GF+	24-481187	ISMEAINNFIK (1)				
YFR035W-A*	Standard MSFragger, MS-FG+	chrVI:22626 0-226550	HLNIPDLRFEK (2)	1.04e-07	0.99974	Conserved within genus	Strong
YPR159C-A	Acetylation	chrXVI: 857598- 857660	IVACTICVQVCATKVVR (1)	8.48e-06	0.858	None	Weak
YIL059CW-A*	Non- enzymatic end	chrlX:24655 0-246915	EFDFDVGYEEFVR (1)	4.74e-07	0.987	Conserved with <i>S. jurei</i>	Strong
YNL155C-A*	Same- strand overlap	chrXIV: 341911- 342135	KQHTEWPIEENR (2), MIGLIVVPILFAIK (8)	1.06e-08	0.99968	Conserved within genus	Strong

- 134 \*Assigned in this study.
- 135 \*\*Assessed based on proteomic, translation and evolutionary evidence

- 136 YMR106W-A is located 27 nt away from a Ty1 long terminal repeat. No homologs outside *S. cerevisiae*
- 137 were found using BLASTP or TBLASTN against the NCBI non-redundant and nucleotide databases or
- against the 332 budding yeast genomes collected by Shen et al. 2018.<sup>29</sup> It is thus plausible that this ORF
- 139 was brought into the *S. cerevisiae* genome through horizontal transfer mediated by Ty1
- 140 retrotransposition.<sup>30</sup> YFR035W-A overlaps the canonical ORF YFR035C on the opposite strand. However,
- 141 YFR035C was not detected in our canonical protein MS analysis. YFR035C deletion was reported to
- 142 increase sensitivity to alpha-synuclein<sup>31</sup>, but this observation stemmed from a full ORF deletion that
- 143 would also have disturbed YFR035W-A. While YFR035C has 287 in-frame ribo-seq reads mapping to the
- 144 ORF in the Wacholder et al. 2023<sup>7</sup> dataset, YFR035W-A has 22,523, greater by a factor of 79 (**Figure 3A**).
- 145 In a multiple sequence alignment with other species in the Saccharomyces genus, the full span of the
- 146 YFR035W-A amino acid sequence aligns between all species (**Figure 3B**), while other species have an
- early stop preventing alignment with most of the YFR035C amino acid sequence (Figure 3C). Thus,
- evolutionary, translation and proteomics evidence all indicate that unannotated ORF YFR035W-A is a
- 149 better candidate for a conserved protein-coding gene than annotated ORF YFR035C.

## 150 Alternative strategies for MS search yield two additional noncanonical peptide detections

- 151 Aside from YMR106W-A and YFR035W-A, the standard MSFragger approach did not confidently detect
- 152 proteins encoded by noncanonical ORFs supported by ribo-seq. We therefore considered some reasons
- 153 we could miss noncanonical proteins present in the data and employed alternative approaches to test
- 154 these possibilities. For each approach, we determined whether a substantial list of noncanonical ORFs
- 155 could be constructed with FDR of 10%. If not, we further investigated peptides with expect scores  $< 10^{-5}$ ,
- similar to the level at which YMR106W-A was detected.
- 157 First, we hypothesized that a mismatch between the environmental conditions in which the ribo-seq and
- 158 MS datasets were constructed may explain the low number of detected noncanonical proteins. To
- 159 investigate this possibility, we reduced our analysis to consider only ribo-seq and MS experiments
- 160 conducted on cells grown in YPD at 30° C. The target/decoy ratio looked similar to the analysis on the
- 161 full dataset, with no peptide list generatable with a 10% FDR (Figure 4A). The only noncanonical proteins
- detected at a 10<sup>-5</sup> expect score threshold were the same two as in the standard analysis.
- 163 Next, to ensure our results were not specific to the search program MSFragger, we repeated our analysis
- using MS-GF+.<sup>32</sup> The pattern of target vs. decoy detection was again similar to the standard MSFragger
- analysis, with no peptide list generatable with a 10% FDR (**Figure 4B**). The only noncanonical proteins
- 166 detected at a 10<sup>-5</sup> e-value threshold were YMR106W-A and YFR035W-A, also found by MSFragger. We
- 167 then applied the machine learning based MS<sup>2</sup>Rescore algorithm<sup>33</sup> to rescore the MSGF+ results, as this
- 168 has been shown to improve peptide identification rates in some contexts. However, this also did not
- 169 improve target-decoy ratios (**Figure 4C**) and the strongest rescored match was to a decoy.
- 170 Next, we hypothesized that noncanonical proteins could have been missed from our searches due to
- 171 post-translational modification or cleavage. Allowing for phosphorylation of threonine, serine, or
- tyrosine as variable modifications did not improve the decoy/target ratio or yield detection of any
- 173 noncanonical phosphorylated peptides at a  $10^{-5}$  expect score threshold (**Figure 4D**). Adding acetylation
- 174 of lysine or N-terminal acetylation as variable modifications did not improve target/decoy ratios overall
- 175 (Figure 4E), but a single hit with an expect score of 8.48 x 10<sup>-6</sup> was found, which we named YPR159C-A.
- 176 The corresponding peptide was encoded from an ORF on the opposite strand of the canonical gene
- 177 YPR159W. However, this hypothetical protein was identified from a peptide found only once, showed no

178 evidence of conservation in the *Saccharomyces* genus, and was translated at lower levels than other

179 noncanonical protein detections (Table 1); we therefore conclude that it may not be a genuine180 detection.

Allowing for peptides to have one end that is not an enzymatic cut site to search for potential cleavage 181 182 products did not improve target/decoy ratios overall (Figure 4F), but a single additional noncanonical 183 peptide was identified with a relatively strong expect score of 4.7 x 10<sup>-7</sup>. This peptide was from the ORF YIL059C, annotated as "dubious" on SGD, indicating that, in the view of SGD, the ORF is "unlikely to 184 encode a functional protein." YIL059C is in the 98<sup>th</sup> percentile of ribo-seq read count and 99<sup>th</sup> percentile 185 186 of length among noncanonical ORFs, at 366 nt (Table 1). It overlaps on the opposite strand the ORF 187 YIL060W, classified as "verified" on SGD. However, the references listed in support of YIL060W are all based on full deletion experiments which would disturb both ORFs and therefore do not distinguish 188 between them.<sup>34–36</sup> YILO60W may have been considered the more likely gene as its ORF is longer, at 435 189 190 nt. But as in the case of YFR035C and YFR035W-A discussed above, both ribo-seg and MS data provide 191 more support for the noncanonical ORF than the canonical ORF on the opposite strand: YIL059C has 192 1741 ribo-seq reads compared to only 7 reads for YIL060W (Figure 5A), and YIL060W was not detected 193 in our MS analysis of canonical ORFs. Given that the YIL059C peptide had one non-enzymatic end, we 194 tested whether it could be a signal peptide using the TargetP program.<sup>37</sup> YIL059C has a predicted signal 195 peptide cleavage site corresponding exactly to the detected peptide (Figure 5B), providing additional 196 support that this is a genuine detection. Searching for homologs using TBLASTN, BLASTP and BLASTN in 197 the NCBI databases and in Saccharomyces genus genomes at a 10<sup>-4</sup> e-value threshold, YIL059C and 198 YIL060W have detected DNA homologs only in Saccharomyces species S. paradoxus, S. mikatae and S. 199 jurei. There was an intact protein alignment of YIL059C between S. cerevisiae and S jurei (Figure 5C) 200 while YIL060W has no homologs that fully align in any species (Figure 5D). YJL059C is located adjacent, 201 and on the opposite strand, to a Ty2 long terminal repeat. These observations are consistent with a 202 transposon-mediated horizontal transfer of YIL059C prior to divergence between S. cerevisiae and S. 203 mikatae, followed by loss in S. paradoxus and S. mikatae and preservation in S. cerevisiae and S. jurei. 204 We do not rule out a role for YIL060W, but all considered evidence provides greater support for the 205 biological significance of YIL059C.

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#### 207 Figure 3: Translation and evolutionary evidence indicates that unannotated ORF YFR035W-A is likely a conserved gene. A)

ribo-seq reads on unannotated ORF YFR035W-A (top) and annotated ORF YFR035C (bottom). The bounds of each ORF are

indicated in boxes. The location of the detected peptide is indicated in green. B) Alignment of the amino acid sequence of
 YFR035W-A with its homologs across the *Saccharomyces* genus. C) Amino acid alignment of the annotated ORF YFR035C and its

211 homologs in Saccharomyces.







- 220 Finally, we wanted to investigate a class of noncanonical ORFs not present in the Wacholder et al.
- translated ORF dataset: noncanonical ORFs that overlap a canonical ORF on the same strand. These
- 222 ORFs are difficult to identify by ribo-seq because it is challenging to distinguish noncanonical ORF-
- associated ribo-seq reads from those of the canonical gene; however, some proteins encoded by
- 224 noncanonical ORFs that overlap canonical ORFs have been identified in previous MS analyses, including
- in the Sun et al. dataset included in our MS analysis.<sup>25</sup> We therefore constructed a sequence database
- 226 consisting of all canonical ORFs as well as noncanonical ORFs that overlap canonical ORFs on the same
- strand, with ORFs determined only from the genome sequence rather than expression evidence.

- 228 Running this database against the full set of MS data, we again observed that, among noncanonical
- 229 ORFs, decoys were detected at a high fraction of the rate of predicted peptides and so a list of confident
- 230 noncanonical detections could not be established at reasonable false discovery rates (Figure 6A). Only
- 231 one overlapping ORF had associated PSMs with expect scores stronger than 10<sup>-5</sup>. We assigned it
- 232 systematic name YNL155C-A following SGD conventions (Table 1).
- 233 The stable translation product of YNL155C-A was supported by two distinct peptides which together
- were detected 10 times with expect scores below the best decoy score of  $5.12 \times 10^{-7}$ , with the strongest
- value of 1.06 x 10<sup>-8</sup>. This 255 bp ORF overlaps canonical gene YNL156C for 57 of 255 bases. Its translation
- product was not identified in the Sun et al. analysis.<sup>25</sup> A clear pattern of ribo-seq read triplet periodicity
- 237 was observed in the frame of YNL155C-A (i.e., reads tend to match to the first position of a codon)
- before the overlap with YNL156C, indicating translation in this frame (Figure 6B). There also appears to
- 239 be a triplet periodic pattern in a frame distinct from both YNL156C and YNL155C-A at the locus,
- suggesting that all three frames may be translated. Excluding the overlapping region, there are 14,741
- reads on the ORF that map to the first position of a codon in the YNL155C-A reading frame; this would
- put it in the 99.95<sup>th</sup> percentile of read count among translated noncanonical ORFs in the Wacholder et
- al. dataset. No homologs were found in more distantly related species in a TBLASTN search against the
- 244 NCBI non-redundant protein database, but YNL155C-A was well conserved across Saccharomyces (Figure
- **6C**). Thus, proteomic, translation and evolutionary evidence all support YNL155C-A as a protein-coding
- 246 gene.

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Figure 5: Dubious ORF YIL059C encodes a signal peptide. A) Ribo-seq reads on canonical ORF YIL060W (top) and "dubious" ORF
 YIL059C (bottom). The bounds of each ORF are indicated in boxes. The location of the detected peptide is indicated in green. B)
 Probability of a signal peptide cleavage site across the YIL059C sequence, as predicted by TargetP.<sup>37</sup> The peptide detected in MS
 analysis is indicated by a green box. C) Alignment of YIL059C with the highest identity protein matches at the homologous locus
 in *Saccharomyces* species. Only species with a homologous locus (at the DNA level) are shown. D) Alignment of YIL060W, the

canonical gene antisense to YIL059C, with its highest identity protein matches at the homologous locus in *Saccharomyces* species.



Figure 6: Noncanonical protein YNL155C-A, detected by MS, is well-translated and conserved in *Saccharomyces* genus. A)
 Predicted proteins and decoys detected in MS data at a range of expect-score thresholds, among noncanonical proteins that
 could be encoded by ORFs that overlap canonical ORFs on alternative frames. B) Ribo-seq reads across the YNL155C-A ORF.
 Reads are assigned to the reading frame in which the position they map to is the first position in a codon. The full span of

260 YNL155C-A and the start of YNL156C are shown. The position of the two peptides found in MS are in green. C) Multiple

261 sequence alignment of YNL155C-A with its homologs in the *Saccharomyces* genus.

## The low detectability of noncanonical proteins can be explained by their short lengths and low translation rates

264 We sought to understand why the large majority of peptides predicted from translated noncanonical 265 ORFs remained undetected across multiple computational search strategies. A major difference 266 between canonical and noncanonical proteins is length: the average canonical protein is 503 residues 267 compared to only 31 among noncanonical proteins. Short size can affect protein detection probability 268 through distinct mechanisms: the sample preparation steps of the MS experiment may be biased against 269 small proteins<sup>17</sup>, and shorter sequences also provide fewer distinct peptides when digested. To distinguish these mechanisms, we related detection probability to ORF length at the level of peptides 270 271 rather than proteins. We computationally constructed all possible enzymatic peptide sequences that 272 could be theoretically detected from the proteins in the sequence database given their length and mass. 273 We then calculated the peptide detection rate, out of all theoretically detectable peptides, among 274 different ORF size classes (Figure 7A). We observe a division between canonical ORFs shorter vs. longer 275 than 150 nt. Among 27 canonical yeast ORFs shorter than 150 nt, none of the 269 theoretically 276 detectable peptides were detected at a  $10^{-6}$  expect score threshold (a high-confidence detection 277 threshold). This detection rate is significantly below expectation given the overall 5.5% rate at which 278 canonical peptides are detected (binomial test,  $p = 5.5 \times 10^{-7}$ ), suggesting that there may be technical 279 biases limiting detection of proteins that are this short. As 83% of noncanonical ORFs (15,717) are 280 shorter than 150 nt, short length can partially explain the low detectability of noncanonical ORF 281 products. In contrast, however, among canonical ORFs longer than 150 nt, shorter lengths were associated with higher probabilities that a peptide was detected. This is likely due to a trend of higher 282

283 translation rates among shorter ORFs (Supplementary Figure 1A), which is also observed among

noncanonical ORFs (Supplementary Figure 1B). This observation suggests that short size should not be a
 barrier to detection of proteins encoded by noncanonical ORFs longer than 150 nt. There are 3,080 such
 ORFs, potentially encoding 32,728 detectable peptides, yet only one was found at a 10<sup>-6</sup> expect score

threshold (the peptide from YFR035W-A, Table 1).

288 Besides length, a major difference between canonical and noncanonical ORFs is expression level, and

- this too can affect the probability a protein is detected in MS data.<sup>17</sup> We therefore evaluated the
- relation between translation level and detection probability using the ribo-seq data from Wacholder et
- al. The number of in-frame ribo-seq reads that map to a canonical ORF is strongly associated with the
- probability of detecting the ORF product at a 10<sup>-6</sup> expect score threshold, at both the protein (**Figure 7B**)
- and peptide (Figure 7C) level. As with protein length, we can use the canonical ORFs to infer an
- approximate detection limit: among 267 canonical ORFs with fewer than 1000 in-frame mapped reads,
- 295 only 2 of 8388 theoretically detectable peptides were detected at a 10<sup>-6</sup> threshold. Thus, almost all
- canonical peptides, with only these two exceptions, are found among ORFs with at least 1000 reads and
- longer than 150 nt. Yet, only 80 noncanonical ORFs (0.4% of total) are in this category (**Figure 7D**). Thus,
- almost all noncanonical ORFs are outside the limits in which canonical ORF products are detected by MS.
- 299 For the 80 noncanonical translated ORFs displaying length and expression levels amenable to detection
- 300 (longer than 150 nt and detected with more than 1000 ribo-seq reads), we estimated the probability a
- 301 peptide would be detected at a 10<sup>-6</sup> expect score threshold under the assumption that detection
- 302 probability depends only on read count. This probability was estimated as the peptide detection rate
- among canonical ORFs with a similar read count to the transient ORF (a natural log of read count within
- 0.5). Given these estimates, the expected total count of detected peptides for the 80 ORFs was 2.68. In
- reality, a single peptide was detected (the peptide from YFR035W-A, Table 1). To see whether observing
- 306 only a single detection was surprising, we simulated the distribution of peptide detection counts under
- the estimated detection probabilities (**Figure 7E**). The observed count of one peptide detection was
- 308 obtained in 28% of 100,000 simulations, and in 15% of simulations there were no detections. Thus, the
- 309 single observed detection of a noncanonical peptide at a 10<sup>-6</sup> expect score threshold is within range of
- 310 expectations.

# 311 No evolutionarily transient ORFs detected in MS data, even annotated ORFs with established roles

- A majority of translated ORFs identified in the Wacholder et al. dataset are classified as "evolutionarily
- 313 transient", indicating that they are of recent evolutionary origin and do not show signatures of purifying
- selection. Of 18,947 noncanonical ORFs analyzed here, 17,471 (91%) are inferred to be evolutionarily
- transient in Wacholder et al.; an additional 103 canonical ORFs are also classified as transient. As these
- 316 ORFs comprise such a large portion of the noncanonical translatome, we wanted to assess whether any
- 317 could be detected in MS data.
- 318 No evolutionarily transient noncanonical ORFs were detected in our analyses, as none of the
- 319 noncanonical proteins we identified (listed in Table 1) were classified as evolutionarily transient. Among
- 320 the 103 evolutionarily transient canonical ORFs, none were detected at a 10<sup>-5</sup> expect score threshold,
- and similar numbers of ORFs and decoys were found at weaker thresholds (Supplementary Figure 2).
- 322 Five transient canonical ORFs have been characterized in some depth<sup>7</sup>, including MDF1, a well-
- 323 established *de novo* gene specific to *S. cerevisiae* that plays a role in the yeast mating pathway.<sup>38</sup> Yet
- 324 none of these show any evidence of detection in the MS datasets examined here, with expect scores far

- higher than what would constitute even weak evidence (**Table 2**). These results indicate that MS
- detection appears to miss the entire class of evolutionary transient ORFs, whether canonical or not.

#### 327 Table 2

Canonical transient ORF	Major publication	Minimum expect score
MDF1	Li et al. 2010 <sup>38</sup>	1.85
YBR196C-A	Vakirlis et al. 2020 <sup>39</sup>	.99
HUR1	Omidi et al. 2018 <sup>40</sup>	1.62
YPR096C	Hajikarimlou et al. 2020 <sup>41</sup>	0.10
ICS3	Alesso et al. 2015 <sup>42</sup>	0.03

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#### 329 Discussion

Bottom-up mass spectrometry is an attractive approach for validating noncanonical ORFs supported by

ribosome profiling due to the ease of testing large lists of predicted proteins but is limited by low

332 sensitivity. Analyzing three mass spectrometry experiments optimized to find small proteins, we

333 identified three noncanonical proteins expressed from ORFs identified as translated in a recent analysis

of yeast ribosome profiling studies (YMR106W-A, YFR035W-A, and YIL059C). We additionally found MS

evidence for an ORF not initially identified by ribo-seq, YNL155C-A, due to overlapping a canonical ORF

on the same strand. All four proteins were translated at rates much higher than typical noncanonical

337 ORFs, providing independent evidence that they are genuine protein-coding genes; three also showed

evidence of evolutionary conservation. These findings illustrate the power of using proteomic,

translation, and evolutionary evidence in combination to identify undiscovered genes at high confidence

even in a well-annotated model organism.





#### 343 Figure 7: Lack of detection of noncanonical proteins can be explained by their low translation rate. A) The proportion of 344 canonical peptides detected, among all eligible for detection, for ORFs of different size classes. Bars indicate a range of one 345 standard error. A dashed line is drawn at 150 nt, below which no canonical peptides are detected. B) Proportion of canonical 346 proteins detected within bins defined by total count of in-frame ribo-seq reads mapping to the ORF. A dashed line is drawn at 347 1000 reads, below which few canonical proteins are detected. C) Proportion of canonical peptides detected, out of all eligible, 348 within bins defined by total count of in-frame ribo-seq reads mapping to the ORF. A dashed line is drawn at 1000 reads, below 349 which few canonical peptides are detected. D) For all peptides predicted from canonical and noncanonical translated ORFs with 350 detectable mass and length, the in-frame ribo-seq read count and ORF length is plotted. Nearly all detectable peptides are 351 restricted to the top right guadrant, where ORF length > 150 nt and ribo-seg read count > 1000. E) The distribution of counts of 352 noncanonical ORF peptides detected in 100,000 simulations, with peptide detection probabilities for each peptide estimated 353 from canonical peptides encoded by ORFs with similar read counts. An arrow points to the number detected in actuality.

Nevertheless, the vast majority of ribo-seq supported noncanonical ORFs showed no evidence of

- detection in MS datasets. We show that the low rates of detection of noncanonical ORFs can be
- 356 explained by their short size and low translation rate: canonical ORFs with similar levels of translation
- 357 are also very rarely detected. As size and translation rate alone can explain the differences in
- detectability between canonical and noncanonical ORFs, little else about the biology of noncanonical
- 359 ORFs can be inferred from their lack of detection in MS data. We cannot conclude that proteins
- 360 expressed from noncanonical ORFs are less stable than canonical proteins, that they are targeted for
- degradation at higher rates, or that they are less likely to be functional, except to the extent that low
- 362 expression already justifies these inferences.
- 363 A majority of the yeast noncanonical translatome, and a small portion of the canonical, consist of
- 364 evolutionarily young ORFs with little evolutionary conservation, classified as "evolutionary transient
- 365 ORFs" in the Wacholder et al. dataset.<sup>7</sup> No transient ORFs were detected in MS data, not even canonical

- transient ORFs that are well characterized. Evolutionary transient ORFs are both abundant in the
- 367 genome and biologically significant, with some playing important roles in conserved pathways despite
- their short evolutionary lifespans.<sup>7</sup> Though we were unable to detect them in MS data, numerous
- 369 proteins expressed from evolutionarily transient ORFs are found to be present in the cell in microscopy
- 370 studies.<sup>7</sup> The biology of the vast majority of these ORFs are poorly understood; most have never been
- 371 studied in any depth. Bottom-up MS, using currently available studies, does not appear useful for
- identifying the evolutionarily transient ORFs most likely to have interesting biological roles.
- 373 There is considerable variability across studies that attempt to detect noncanonical proteins using MS,
- 374 with some reporting detection of hundreds of proteins while others, as in this study, find many
- fewer.<sup>10,13,15,18,22,25,43-46</sup> This could partly reflect biological differences between the cell types and species
- analyzed. However, there is also great variation in statistical approach. For example, though it is
- 377 recommended for studies of noncanonical proteins to estimate a class-specific FDR among the
- 378 noncanonical proteins themselves<sup>47,48</sup>, some studies control confidence using a whole-proteome FDR
- 379 (including both canonical and noncanonical), which may allow many false discoveries among the
- 380 noncanonical proteins. There is a need to adopt a more consistent standard that will limit the number of
- false positive detections. We believe the approach employed here, in which the distribution of
- 382 confidence scores among predicted noncanonical proteins and their unbiased decoys is directly
- compared, provides a clear picture of the extent to which noncanonical proteins can be genuinelydetected.
- We conclude that, while MS analysis of yeast ribo-seq supported noncanonical ORFs has some utility, it
- also has major limitations: it misses noncanonical proteins likely to be of biological interest, including an
- 387 entire class of translated element, the evolutionarily transient ORFs. Targeted approaches such as
- 388 Western blots, microscopy, and top-down MS, or new technological developments such as protein
- 389 sequencing<sup>49</sup>, are needed to better assess the cellular presence and abundance of the great majority of
- 390 proteins potentially encoded by the noncanonical translatome.
- 391 Supplementary Figures



393



- 395 per nucleotide among canonical ORFs of different size classes. B) Average log ribo-seq read count per 396 nucleotide among noncanonical ORFs of different size classes.
- nucleotide among noncanonical ORES of different size class



398 Supplementary Figure 2: Evolutionarily transient canonical proteins found at similar rates to decoys.

- 399 Predicted proteins and decoys detected in MS data at a range of expect-score thresholds, among
- 400 canonical proteins identified as evolutionarily transient in Wacholder et al. 2021<sup>50</sup>, using the standard
- 401 MSFragger approach.
- 402 Methods

# 403 Mass spectrometry search

404 All mass spectrometry data files were taken from three studies. The He et al. 2018<sup>23</sup> dataset PXD008586

405 and Gao et al. 2021 dataset PXD001928 were downloaded from PRIDE. The Sun et al. 2022<sup>25</sup> dataset

406 PXD028623 was downloaded from IPROX. These datasets were searched using all proteins predicted to

- 407 be encoded from the full reference translatome described in Wacholder et al. 2021.<sup>50</sup> The sequence
- 408 database was supplemented with all canonical proteins not included in the Wacholder et al. 2021

- 409 dataset. Canonical proteins are those annotated as "verified", "uncharacterized" or "transposable
- 410 element" in the August 3, 2022 update of the Saccharomyces Genome Database annotation.<sup>27</sup>
- 411 Searches were conducted using the MSFragger program.<sup>26</sup> Unless otherwise indicated, the following
- 412 parameters were used: 20 ppm precursor mass tolerance, 20 ppm fragment mass tolerance, two
- 413 enzymatic termini required, up to two missed cleavages allowed, clipping to the N-terminal methionine
- 414 as a variable modification, methionine oxidation as a variable modification, cysteine
- 415 carbamidomethylation as fixed modification, peptide digestion lengths from 7 to 50 nt, peptide masses
- 416 from 350 to 1800 Daltons, a maximum fragment charge of 2, and all other parameters as default. FDR
- 417 was calculated in a class-specific manner (i.e., specific to canonical or noncanonical ORFs) by dividing the
- number of decoys within the class that are below the expect score threshold from the number of targets
- in the class lower than the threshold. Decoys were either default (reverse of protein database sequence)
- 420 or reversed after the starting methionine, as indicated. Peptides were excluded if they belonged to more
- 421 than one predicted protein. Peptide-spectrum matches were excluded if the MSFragger hyperscore was
- 422 less than 3 above the score for the next best peptide, in order to avoid using peptide-spectrum matches
- 423 that did not uniquely support a single protein.
- 424 In one analysis, searches were instead conducted using the MS-GF+ program.<sup>32</sup> All available parameters
- 425 were set to be the same as in the MSFragger search, and decoys were reversed after the starting
- 426 methionine. MS<sup>2</sup>Rescore<sup>33</sup> was then run on MS-GF+ output files to rescore the results.

## 427 Ribo-seq data

- 428 All ribo-seq data was taken from the analysis in Wacholder et al. 2021.<sup>50</sup> This data included ribo-seq
- 429 reads aggregated over 42 published studies and mapped to the *S. cerevisiae* genome. A read was
- 430 considered to map to an ORF only if the inferred P-site mapped to the first position of a codon in the
- 431 reading frame of the ORF; the total read count for an ORF is the sum of reads mapping over all first
- 432 codon positions.

# 433 Homology analyses

- 434 BLAST analyses were conducted with default settings and a 10<sup>-4</sup> e-value threshold to consider a match a
- 435 homolog. BLAST searches conducted on NCBI databases were done on the NCBI website. Searches of the
- 436 yeast genomes collected in Shen et al.<sup>29</sup> were conducted using the BLAST command line tool on the
- 437 genomes taken from that study.<sup>51</sup> BLAST searches of *Saccharomyces* species genomes were conducted
- 438 on genomes acquired from the following sources: *S. paradoxus* from Liti et al. 2009<sup>52</sup>, *S. arboricolus* from
- 439 Liti et al. 2013<sup>53</sup>, *S. jurei* from Naseeb et al. 2018<sup>54</sup>, and *S. mikatae*, *S. uvarum*, *S. eubayanus* and *S.*
- 440 *kudriavzevii* from Scannell et al. 2011.<sup>55</sup> These genome were also used to make sequence alignments. All
- sequence alignments were generated using the MAFFT tool on the European Bioinformatics Institute
- 442 website.<sup>56</sup>

# 443 **Peptide Analysis**

- 444 For each ORF in the protein database, a set of possible peptides was constructed following the same
- rules as used for the MSFragger analysis: two enzymatic termini (or protein ends) were required, up to
- 446 two missed cleavages were allowed, clipping to the N-terminal methionine was a variable modification,
- and methionine oxidation was a variable modification. As in the MSFragger analysis, peptides were
- restricted to 7 to 50 nt and peptide masses from 350 to 1800 Daltons. Out of this list of theoretical

peptides, the peptides that were detected in the MS analysis at a 10<sup>-6</sup> expect score threshold in at least
 one experiment were identified.

451

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#### 456 Author contributions

- 457 Conceptualization, A.W. and A.-R.C. Methodology, A.W., A.-R.C. Investigation, A.W. Writing Original
- 458 Draft, A.W. Writing Review & Editing, A.W., A.-R.C. Supervision, A.-R.C.

#### 459 Declaration of interests

460 A.-R.C. is a member of the scientific advisory board for Flagship Labs 69, Inc (ProFound Therapeutics).

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